



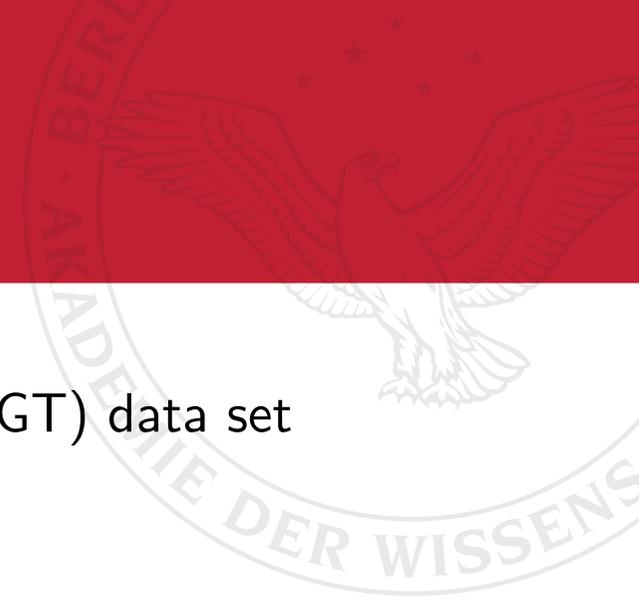
Compilation of a Large Ground-Truth Data Set Using Transkribus

Matthias Boenig & Kay-Michael Würzner
{boenig|wuerzner}@bbaw.de

Transkribus User Conference
Vienna, 2nd November 2017



Overview



Goal: Compilation of a large, **homogeneous** Ground Truth (GT) data set

- Various **heterogeneous** sources
- Annotation on the **textual** and/or **structural** level

Background: OCR-D initiative

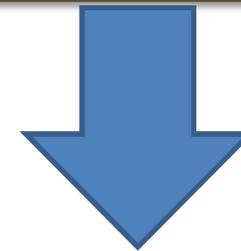
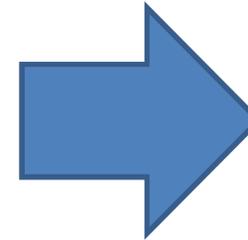
- Funding by the *Deutsche Forschungsgemeinschaft*
→ Improvement of OCR tools for **historical printings** (i.e. VD 16, 17, 18)
- Coordination project
 - Identify to-dos, desiderata and improvement options
 - Development of a call for proposals
 - Merge (sub-)project results into a **productive workflow**

Procedure: Annotation with Transkribus

1. Import images and existing text and/or structural information
2. **Harmonization** and completion within Transkribus



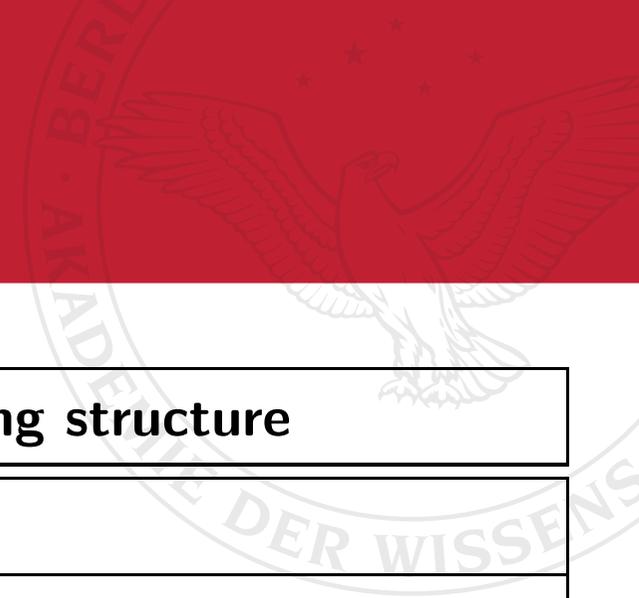
Overview



- Various GT sources
- Containing either text or structural annotations in differing quality
- By now, ≈ 130 documents with ≈ 500 pages
- A lot more to come!



Workflows

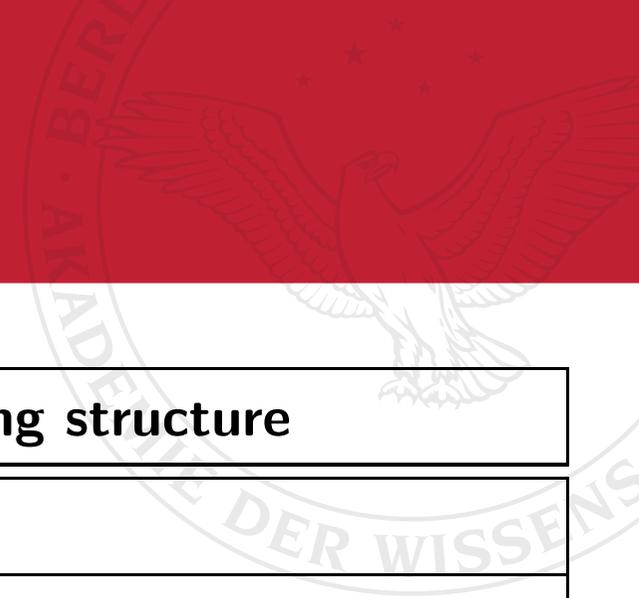


Existing text	Existing structure

-
-
-
-



Workflows



Existing text	Existing structure
Import images	

-
-
-
-



Workflows

The screenshot shows a web-based document management system. On the left, a sidebar contains navigation and user controls, including a 'Logout boenig@bbaw.de' button and a 'Recent documents...' section. Below this is a 'Collections:' section with 'GT3-Sample (5120, Owner)'. A table lists documents with columns for ID, Title, Pages, and Upload status.

ID	Title	Pages	Uploa
17737	a_gehema_feldapotheke_1688_11	11	boeni
17736	a_gehema_feldapotheke_1688_9	9	boeni
17735	a_gehema_feldapotheke_1688_10	10	boeni
17734	a_gehema_feldapotheke_1688_10	10	boeni
16012	braendl_thaumatoграфия_1692	11	boeni
16011	braendl_thaumatoграфия_1692	11	boeni
15965	carrichter_speiszkammer_1610_11	11	boeni
15964	carrichter_speiszkammer_1610_10	10	boeni
15034	hoefer_bienenkunst_1614_2	11	boeni
15033	hoefer_bienenkunst_1614_1	11	boeni

The main area displays a 'Document ingest / upload' dialog box. It offers four upload methods: 'Upload via private FTP' (selected), 'Upload via URL of DFG Viewer METS', 'Upload single document', and 'Extract and upload images from pdf'. The 'Documents on private FTP' section shows a table with columns for Directory, Title, Nr. of Files, and Last modified.

Directory	Title	Nr. of Files	Last modified
nn_catechismus_1684	nn_catechismus	1	Thu Oct 26 14:07:45 CEST 2017
Archiv	Archiv	28	Thu Oct 26 14:01:35 CEST 2017

At the bottom of the dialog, there is an 'Add to collection:' dropdown menu set to 'GT3-Sample (5120, Owner)', along with 'Cancel' and 'Upload' buttons.



Workflows

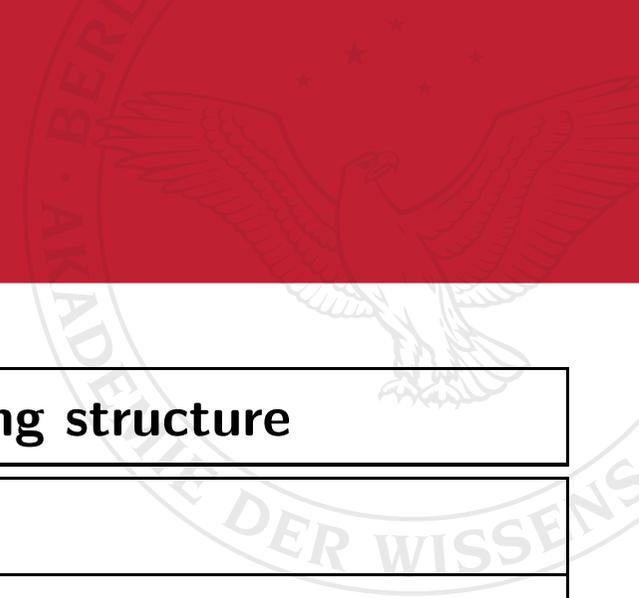
The screenshot displays a digital library interface. On the left, a sidebar contains navigation and user management options, including a 'Logout' button for 'boenig@bbaw.de'. Below this is a 'Recent documents...' section and a 'Collections:' section with a highlighted 'GT3-Sample (5120, Owner)'. A table lists document entries with columns for ID, Title, Pages, and Uploader.

ID	Title	Pages	Uploa
28396	nn_catechismus_1684	22	boeni
17737	a_gehema_feldapotheke_1688_	11	boeni
17736	a_gehema_feldapotheke_1688_	9	boeni
17735	a_gehema_feldapotheke_1688_	10	boeni
17734	a_gehema_feldapotheke_1688_	10	boeni
16012	braendl_thaumatographia_1692	11	boeni
16011	braendl_thaumatographia_1692	11	boeni
15965	carrichter_speiszkammer_1610_	11	boeni
15964	carrichter_speiszkammer_1610_	10	boeni
15034	hoefler_bienenkunst_1614_2	11	boeni
15033	hoefler_bienenkunst_1614_1	11	boeni

The main area shows a document viewer with a page number '1 / 22'. The document is a historical manuscript titled 'CATECHISMUS, Oder Kurtzer Unterricht Christlicher Lehr / wie der in Kirchen und Schulen der Churfürstlichen Pfalz getrieben wird. Aus Churfürsil. Pfalz Verordnung kurtzlich erklärt / und mit Zeugnissen der'.



Workflows



Existing text	Existing structure
Import images	
Run FineReader for initial layout version	Import Page XML

-
-
-
-



Workflows

The screenshot shows the Transkribus software interface. On the left, a sidebar contains several tool categories:

- Layout Analysis:** Method: NCSR. Options: Current transcript, Pages (22) 1-22. Checkboxes: Find Text Regions, Find Lines in Text Regions, Find Words in Lines (experimental!). A **Run** button is present.
- Text Recognition:** Method: OCR (Abbyy FineReader). A **Models...** button and a **Run...** button are visible.
- Compute Accuracy:** Reference: (Correct Text) . Hypothesis: (HTR Text) . **Compare** and **Compare Versions in Textfile** buttons are also present.
- Other Tools:** Current transcript, Pages (22) 1-22. **Add Baselines to Polygons** and **Add Polygons to Baselines** buttons are visible.

The main window displays a document page with a dialog box titled "Optical Character Recognition" overlaid. The dialog box settings are:

- On this page
- Pages: 1-3
- Type Face: Gothic
- Languages: German
- Language list (all unchecked except German):
 - Basque
 - Bulgarian
 - Catalan
 - Croatian
 - Czech
 - Danish
 - Dutch
 - English
 - Estonian
 - Finnish
 - French
 - GaelicScottish
 - Galician
 - German
 - Greek
 - Hungarian
 - Irish
 - Italian
 - Latin
 - Latvian
 - LatvianGothic
-

The document page shows text in Gothic script, including "HISMUS," "nterricht", "wie der in", "schulen der Thur-", "fals getrieben", "ird.", "Pfaltz Verordnung", and "und mit Zeugnissen der".



Workflows

The screenshot displays a digital manuscript editor. The main window shows a page of a document with text in Gothic script. The text is organized into paragraphs and lines. A sidebar on the left provides a hierarchical view of the document's structure, including elements like Page, TextRegion, Line, and Graphic. The main viewing area shows the text with green selection boxes highlighting specific regions. The bottom toolbar includes navigation and editing tools.

Type	Text	Structure	Readi	ID
Page				
Printspace				
TextRegion		paragraph	1	r_1_1
Line	CATECHISMU		1	tl_1
TextRegion		paragraph	2	r_1_2
Line	Oder		1	tl_2
Graphic			3	r_2
TextRegion		paragraph	4	r_3_1
Line	Alls Lhur-Fürs		1	tl_3
TextRegion		paragraph	5	r_3_2
Line	kürtzlich erklä		1	tl_4
TextRegion		paragraph	6	r_3_3
Line	Schnsfr bestä		1	tl_5
Line	JbrerKhur'Fü		2	tl_6
Graphic			7	r_4
TextRegion		paragraph	8	r_5_1
Line	Mt Chur'ürst		1	tl_7
TextRegion		paragraph	9	r_5_2
Line	Gedruckt und		1	tl_8
TextRegion		paragraph	10	r_5_3
Line	Bty denen Wa		1	tl_9
TextRegion		paragraph	11	r_5_4
Line	Dero Uoiverlt		1	tl_10
TextRegion		paragraph	12	r_5_5
Line	/' —"		1	tl_11
Graphic			13	r_6

1 Alls Lhur-Fürst!. Pfaltz Verordnung



Workflows

Existing text	Existing structure
Import images	
Run FineReader for initial layout version	Import Page XML
Manually correct layout	Run external OCR for initial text version

-
-
-
-



Workflows

The screenshot displays the Transkribus software interface. The main window shows a manuscript page with a green bounding box around the text: "CATECHISMUS, Oder Kurtzer Unterricht Christlicher Lehr / wie der in Kirchen und Schulen der Churfürstlichen Pfalz getrieben wird." The left sidebar contains a navigation pane with icons for TR, L, BL, W, and other elements. Below the navigation pane is a "Selected element type" dropdown set to "TextRegion" and a "Structure Type" list with radio buttons for various document elements like paragraph, heading, caption, etc. The bottom status bar shows "Region: 3 / 11" and various editing tools.



Workflows

Existing text	Existing structure
Import images	
Run FineReader for initial layout version	Import Page XML
Manually correct layout	Run external OCR for initial text version
Copy and paste text region by region	

-
-
-
-



Workflows

The screenshot displays a TEI editor interface. The main window shows a manuscript page with the following text:

Oder
Kurtzer Unterricht
Christlicher Lehr / wie der in
Kirchen und Schulen der Chur-
fürstlichen Pfaltz getrieben
wird.

The left sidebar shows a tree view of the document structure:

Type	Text	Structure	Readi	ID
Page				
Printspace				
TextRegion		paragraph	1	TextR
Line	CATECHISMUS		1	tl_1
Line	Oder		2	tl_2
Line	Kurtzer Unter		3	line_1
Line	Christlicher Le		4	line_1
Line	Kirchen und S		5	line_1
Line	fürstlichen Pfä		6	line_1
Line	wird.		7	line_1
TextRegion		paragraph	2	TextR
Line	Aus Chur-Fürf	paragraph	1	tl_3
Line	kürtzlich ekl		2	tl_4
Line	Schrift beftä		3	tl_5
Line	Jhrer Chur-Fü		4	tl_6
Graphic			3	r_4
TextRegion		paragraph	4	r_5_1
Line	Mt Chur^ürst		1	tl_7
TextRegion		paragraph	5	TextR
Graphic			7	r_6

The bottom right window shows the XML structure of the text:

```
TEI text front titlePage docTitle titlePart
      Kurtzer Unterricht
      Christlicher Lehr/ wie der in
      Kirchen und Schulen der Chur-
      fürstlichen Pfaltz getrieben
      wird.
```



Workflows

Existing text	Existing structure
Import images	
Run FineReader for initial layout version	Import Page XML
Manually correct layout	Run external OCR for initial text version
Copy and paste text region by region	
	Manually correct text

-
-
-
-



Workflows

Existing text	Existing structure
Import images	
Run FineReader for initial layout version	Import Page XML
Manually correct layout	Run external OCR for initial text version
Copy and paste text region by region	
	Manually correct text

- Somewhat naïve approach
- External Page XML creation or
- Intermediate export and (re-)import as alternative options
- **Not very comfortable**



Desiderata

- Transkribus is a wonderful tool!
 - ▶ Support for **polygonal** regions
 - ▶ **Multiple** OCR options
 - ▶ **Collaborative** working environment with basic version control
 - ▶ TEI export
- For **GT creation**, we would welcome
 - ▶ OCR application on **specific regions** also for FineReader
 - ▶ Dedicated **text import** functionalities (e.g. on paragraph level)
 - ▶ METS import which accounts for **existing structural annotations** and linked ALTO
 - ▶ **Automatic support** during manual post correction
 - ▶ TEI import



■ OCR-D GT Guidelines

- ▶ Documentation of existing OCR-D GT
- ▶ Instructions for GT creation
 - Already used within the OCR-D project
 - Perspectively also used in a **broader context** (community use)
- ▶ Automatic **validation** of GT data
- ▶ (Semi-)automatic **conversion** of existing GT data sets
- ▶ Plans for setting up a **GT repository** for print publications and handwritten documents

■ Availability

View: <https://kaskade.dwds.de/~matthias/ocr-d/>

Sources: <https://github.com/OCR-D/>



- Transkribus User Documentation: A proposal
 1. Step: Change the documentation format from Wiki to DITA
 - ▶ XML-based documentation format
 - ▶ **Topic-oriented** internal and “external” structure (i.e. presentation)
 - ▶ Various automatically generated **presentation modes**
 2. Step: Build and organize a documentation **source repository** (e.g. on github)
 3. Step: Involve the user community into the documentation process
 - ▶ Non-developer view point
 - ▶ Recipes for frequent tasks





Many thanks for your attention.

